

WR:gte 04/08/04  
PATENT

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Date of Deposit: April 8, 2004  
Attorney Reference Number 6047-68313

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In re application of:** Farnworth et al.

**Application No.** Not yet assigned

**Filed:** Herewith

**Confirmation No.** Not yet assigned

**For:** NANOTUBE SEMICONDUCTOR  
DEVICES AND METHODS FOR MAKING  
THE SAME

**Examiner:** Not yet assigned

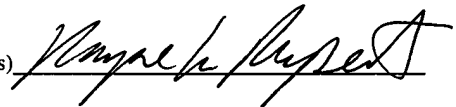
**Art Unit:** Not yet assigned

**Attorney Reference No.** 6047-68313

CERTIFICATE OF EXPRESS MAILING

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Attorney  
for Applicant(s)



Date Mailed April 8, 2004

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**INFORMATION DISCLOSURE STATEMENT**  
**FOR CONTINUING APPLICATIONS**

Listed on the accompanying form PTO-1449 are several English-language documents. Applicants respectfully request that such documents be listed as references cited on the issued patent.

The present application relies upon U.S. Patent Application No. 10/315,511, which was filed December 9, 2002, for an earlier filing date under 35 U.S.C. § 120. Furthermore, documents listed on the accompanying form PTO-1449 were disclosed to or cited by the Patent Office in the earlier U.S. application.

Copies of the documents listed on the accompanying form PTO-1449 that were cited by applicants in the earlier application need not be sent to the Patent Office pursuant to 37 C.F.R. § 1.98. However, applicants will furnish the Patent Office with such copies upon request.

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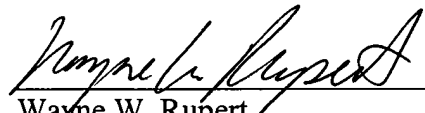
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The filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, prior art or otherwise material to patentability as defined in 37 C.F.R. §1.56.

Respectfully submitted,

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>	Attorney Docket Number	6047-68313
	Application Number	Not yet assigned
	Filed	Herewith
	First Named Inventor	Farnworth
	Art Unit	Not yet assigned
	Examiner Name	Not yet assigned

**U.S. PATENT DOCUMENTS**

NOTE: If this application was filed after June 30, 2003, copies of United States patents and United States published patent applications do not have to be provided to the Patent Office. This requirement of 37 C.F.R. § 1.98(a)(2)(i) has been waived by the United States Patent and Trademark Office pursuant to the Official Gazette Notice on August 5, 2003 (1276 OG 55).

Examiner's Initials*	Cite No. (optional)	Number	Publication Date	Name of Applicant or Patentee
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		5,512,767	4/1996	Noble Jr.
		5,561,310	10/1996	Woo et al.
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		6,157,043	12/2000	Miyamoto
		6,165,839	12/2000	Lee et al.

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\* Examiner: Initial if reference considered, whether or not in conformance with MPEP 609. Draw line through cite if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<b>Examiner's Initials*</b>	<b>Cite No. (optional)</b>	<b>OTHER DOCUMENTS</b>	
		Shea, H.R. et al., "Manipulation of Carbon Nanotubes and Properties of NanoField_Effect Transistors and Rings," <u>Microelectronic Engineering</u> 46, pp. 101-104 (1999).	
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